

## Securities

Our Securities Law services include public offerings, director, officer and corporate governance issues and private placements, SEC compliance and documentation, securities aspects of employee benefit plans and executive compensation, mergers, acquisitions and dispositions, as well as representation before the SEC and state securities regulatory agencies in investigations and enforcement actions. We represent seasoned companies in securities matters and we provide seasoned counsel for new ventures.

We represent issuers, underwriters, investment advisers, broker-dealers and agents, private equity and venture capital firms, investors and others. The firm is listed in the "Bond Buyer's Municipal Marketplace," the "Red Book" of nationally recognized bond counsel, published by Thomson Financial publishers.